

SCHWEGMAN ■ LUNDBERG ■ WOESSNER ■ KLUTH 09/830082

June 18, 2001

Winston Alvarado
Commissioner for Patents
Washington, DC 20231

Re: Docket # 1197.001US3
U.S. Patent Application Serial Number: 09/830,082
Title: ACUPRESSURE DEVICE

Dear Winston:

Regrading our telephone conversation on June 15, 2001, enclosed are the Notification of Missing Requirements, a copy of the postcard and a copy of the signed Declaration and Power of Attorney for the above identified application. If you have any further questions please feel free to contact me at (612)373-6926.

Very truly yours,



Andrew J. Kaul
Case Management Assistant

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

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|-------------------------------|-----------------------|------------------|
| U.S. APPLICATION NO. | FIRST NAMED APPLICANT | ATTY. LOCKET NO. |
| 09/830082 | KRAMER | G 1197.001US3 |
| INTERNATIONAL APPLICATION NO. | | |
| PCT/US99/24183 | | |
| I.A. FILING DATE | PRIORITY DATE | |
| 15 OCT 99 | 22 OCT 98 | |

SCHWEGMAN LUNDBERG WOESSNER &
KLUTH
P O BOX 2038
MINNAPOLIS, MN 55402

DATE MAILED:

22 MAY 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
 - ☒ Copy of the international application.
 - ☐ Oath or Declaration of inventor(s).
 - ☐ Copy of Article 19 amendments.
 - ☐ Priority Document.
 - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
 - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
 - ☒ Indication of Small Entity Status.
 - ☐ Translation of the international application into English.
 - ☐ Translation of Article 19 amendments into English.
 - ☐ Other:

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.

- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Winston M Alvarado

Telephone: 703-305-8424

In re Patent Application of: George H. Kramer
Title: ACUPRESSURE DEVICE
Attorney Docket No.: 1197.001US3

09/830082

Receipt is hereby acknowledged for the following in the United States Patent and
Trademark Office:

ORD

JG17 Rec'd PCT/PTO 20 APR 2001

CONTENTS:

A Transmittal Letter to the DO/EO/US (and duplicate copy thereof) concerning a PCT - US. National Stage filing under 35 U.S.C. 371.; Copies of: PCT/US99/24183 as published (Int'l Publ. No. WO 00/23035, including specification (16 pages, including claims 1-27); and 8 sheets of formal drawings; International Search Report (7 pgs); PCT Request Form (5 pgs); International Preliminary Examination Report (13 pgs); Notification of Receipt of Demand (1 pg.); and PCT Demand (4 pgs.); Authorization to charge Deposit Account No. 19-0743 in the amount of \$503.00 to pay the filing fee; An Assignment of the invention to East West Medical, L.L.P. (2 pgs.) and Recordation Form Cover Sheet (1 pg.); Authorization to charge Deposit Account No. 19-0743 in the amount of \$40.00 to cover the Assignment Recording Fee; Declaration and Power of Attorney (3 pgs.); Small Business Statement (1 pg.); Preliminary Amendment (4 pgs.); Clean Version of Pending Claims (4 pgs.) return postcard and transmittal sheet.

EXPRESS MAIL LABEL NO. EL721275702US Mailed: April 20, 2001 RJH/dmp